



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)	
Otto PREISS et al.)	Group Art Unit: Unassigned
Application No.: Unassigned)	Examiner: Unassigned
Filed: February 15, 2002)	
For: SOFTWARE COMPONENT FOR A)	
DISTRIBUTED CONTROL SYSTEM,)	
AND METHOD FOR DESIGNING A)	
CONTROL SYSTEM)	

INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

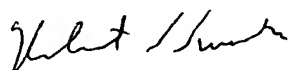
- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$_____ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$_____ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620

By: 
Robert S. Swecker
Registration No. 19,885

Date: February 15, 2002

01/017 US

ABB Research Ltd
ZÜRICH / SWITZERLAND

JC997 U.S. PTO
10/075603
02/15/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Otto PREISS, Maren ESCHERMANN, Martin NAEDELE

(inventors)

claiming Priority under 35 U.S.C. 119

of application No.: 01810160.0

in: EUROPE

with filing date of: 16/February, 2001

U.S. Serial Number:

U.S. filing date:

Title: Software component for a distributed monitoring system, and
method for designing a monitoring system

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

Honorable Commissioner of Patents and Trademarks, Washington, D.C. 20231

Sir:

Submitted herewith is a Search Report/Examination Report issued in a corresponding foreign patent application by the

European Patent Office (X)
German Patent Office ()
_____ ()

1. We wish to make of record the certain citations listed in the Search Report/Examination Report in accordance with 37 CFR 1.97.

2. The relevance of each listed item with regard to the different claims of the above-identified application according to the opinion of the Search Authority/Examination Authority, is indicated in the Search Report/Examination Report

by reference to certain categories which are explained on the Report sheet
or in MPEP on page 1800 - 55, resp. (x)

3. The claims as filed in the above-identified U.S. patent application

correspond to ☒ (x)

are different from ☐ ()

the claims for which the Search Report/Examination Report has been established.

4. Copies of the references cited are enclosed herewith.

All citations ☒ (x)

All relevant citations except U.S. patents ☐ ()

5. English translations of pertinent portions of any non-English references are enclosed herewith, if such translation is readily available.

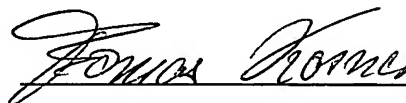
6. No copies are enclosed herewith of references which are characterized by an "A" in the Search Report/Examination Report, since "A" indicates that such reference is not relevant for the invention. ☐ ()

Additionally, copies of the references cited in the specification are enclosed. ☐ ()

Respectfully submitted,

Date: 15th January 2002

by:



Thomas Körner

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

ATTORNEY'S DKT No.
004501-636

APPLICATION No.
Unassigned

APPLICANT
Otto PREISS et al.

FILING DATE
February 15, 2002

GROUP
Unassigned

ic997 U.S. PTO

10/075603

02/15/02

U.S. PATENT DOCUMENTS						
Examiner Initials	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication (MM-DD-YYYY)		
	Number	Kind Code (if known)				
	5,526,358		Gregerson et al.	06-11-1996		
	5,980,078		Krivoshein et al.	11-09-1999		
FOREIGN PATENT DOCUMENTS						
Examiner Initials	Foreign Patent Document		Country	Date of Publication (MM-DD-YYYY)	Translation	
	Number	Kind Code (if known)			Yes	no
	0279232A2		Europe	08-04-1988	X	
	0413044A1		Europe	02-20-1991		X
	1096348A1		Europe	05-02-2001		X
	1096349A1		Europe	05-02-2001		X
	19910311A1		Germany	09-14-2000		X
NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
	HILDING ELMQVIST, "A Uniform Architecture for Distrbuted Automation", Advances in Instrumentation and Control, Instrument Society of America, Research Triangle Park, NC, US Vol. 46, NR. Part 2, Page(s) 1599-1608 XP000347589, ISSN: 1054-0032					
	Database Inspec, Institute of Electrical Engineers, Stevenage, GB; GUTT U et al.: "Open Systems in Substation Automation Systems in the View of the Net Operators", Database Accession No. 6808058 XP002174950, Oct. 30, 2000, VDEW, Germany					
Examiner Signature				Date Considered		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.